



PATENT
Attorney Docket No.: SSI-00700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Group Art Unit: 1763
)
Maximilian A. Biberger *et al.*) Examiner: Ram N. Kackar
)
Serial No.: 09/704,641) **TRANSMITTAL LETTER**
)
Filed: November 1, 2000) 162 N. Wolfe Road
) Sunnyvale, CA 94086
For: **METHOD AND APPARATUS FOR**) (408) 530-9700
SUPERCritical PROCESSING)
OF A WORKPIECE)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Enclosed please find an Information Disclosure Statement and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

A \$180.00 check is also enclosed pursuant to 37 C.F.R. § 1.17(p) for filing this Information Disclosure Statement after three months as set forth in 37 C.F.R. § 1.97(c).

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: October 30, 2002

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicants

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TC 1700

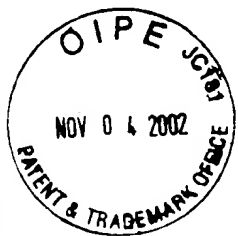
CERTIFICATE OF MAILING (37 CFR § 1.6(a))

I hereby certify that this paper, along with any referred to as being attached or enclosed, is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington D.C. 20231.

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HAVERSTOCK & OWENS LLP

Date: _____ By: _____



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In re Application of:) Group Art Unit: 1763
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Maximilian A. Biberger *et al.*) Examiner: Ram N. Kackar
)
Serial No.: 09/704,641) SUPPLEMENTAL INFORMATION
) DISCLOSURE STATEMENT
)
Filed: November 1, 2000)
)
For: **METHOD AND APPARATUS FOR**) 162 N. Wolfe Road
SUPERCRITICAL PROCESSING) Sunnyvale, CA 94086
OF A WORKPIECE) (408) 530-9700

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 6,228,563 B1; and
- U.S. Patent No. 6,242,165 B1.

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This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,

HAVERSTOCK & OWENS LLP

Dated: October 30, 2002

By: Jonathan O. Owens
Jonathan O. Owens
Reg. No.: 37,902

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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HAVERSTOCK & OWENS LLP

Date: _____ By: _____

FORM PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: SSI-00700		Serial No.: 09 704,641	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary) (37 CFR § 1.98(b))				Applicant: Maximilian A. Biberger <i>et al.</i>			
				Filing Date: November 1, 2000		Group Art Unit: 1763	

U.S. PATENT DOCUMENTS							
Examiner Initials	Serial Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date	
	AA 6,228,563 B1	05 08 01	Starov et al.	430	327	09 17 99	
	AB 6,242,165 B1	06 05 01	Vaartstra	430	329	08 28 98	
AC							
AD							
AE							
AF							

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS								
Examiner Initials	Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation		
						Yes	No	
	AG							
	AH							
	AJ							
	AJ							
	AK							
	AL							
	AM							
	AN							
	AO							
	AP							
	AQ							
	AR							

OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)		
AS		
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Examiner:	Date Considered:
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EXAMINER:	Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
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